



**Jeff Hughes**  
*Head of Democratic and Legal  
Support Services*

**MEETING** : AUDIT COMMITTEE  
**VENUE** : COUNCIL CHAMBER, WALLFIELDS, HERTFORD  
**DATE** : WEDNESDAY 25 NOVEMBER 2015  
**TIME** : 7.00 PM

**PLEASE NOTE TIME AND VENUE**

**MEMBERS OF THE COMMITTEE**

Councillor W Mortimer (Chairman)  
Councillors J Cartwright, B Deering, I Devonshire, P Kenealy, P Phillips  
and S Stainsby

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## DISCLOSABLE PECUNIARY INTERESTS

1. A Member, present at a meeting of the Authority, or any committee, sub-committee, joint committee or joint sub-committee of the Authority, with a Disclosable Pecuniary Interest (DPI) in any matter to be considered or being considered at a meeting:
  - must not participate in any discussion of the matter at the meeting;
  - must not participate in any vote taken on the matter at the meeting;
  - must disclose the interest to the meeting, whether registered or not, subject to the provisions of section 32 of the Localism Act 2011;
  - if the interest is not registered and is not the subject of a pending notification, must notify the Monitoring Officer of the interest within 28 days;
  - must leave the room while any discussion or voting takes place.
  
2. A DPI is an interest of a Member or their partner (which means spouse or civil partner, a person with whom they are living as husband or wife, or a person with whom they are living as if they were civil partners) within the descriptions as defined in the Localism Act 2011.
  
3. The Authority may grant a Member dispensation, but only in limited circumstances, to enable him/her to participate and vote on a matter in which they have a DPI.

4. It is a criminal offence to:

- fail to disclose a disclosable pecuniary interest at a meeting if it is not on the register;
- fail to notify the Monitoring Officer, within 28 days, of a DPI that is not on the register that a Member disclosed to a meeting;
- participate in any discussion or vote on a matter in which a Member has a DPI;
- knowingly or recklessly provide information that is false or misleading in notifying the Monitoring Officer of a DPI or in disclosing such interest to a meeting.

(Note: The criminal penalties available to a court are to impose a fine not exceeding level 5 on the standard scale and disqualification from being a councillor for up to 5 years.)

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**Audio/Visual Recording of meetings**

Everyone is welcome to record meetings of the Council and its Committees using whatever, non-disruptive, methods you think are suitable, which may include social media of any kind, such as tweeting, blogging or Facebook. However, oral reporting or commentary is prohibited. If you have any questions about this please contact Democratic Services (members of the press should contact the Press Office). Please note that the Chairman of the meeting has the discretion to halt any recording for a number of reasons, including disruption caused by the filming or the nature of the business being conducted. Anyone filming a meeting should focus only on those actively participating and be sensitive to the rights of minors, vulnerable adults and those members of the public who have not consented to being filmed.

## AGENDA

1. Training Item - Treasury Management presented by King and Shaxson
2. Apologies  
  
To receive apologies for absence.
3. Minutes (Pages 7 - 14)  
  
To confirm the Minutes of the meeting held on 23 September 2015
4. Chairman's Announcements
5. Declarations of Interest  
  
To receive any Member's Declarations of Interest.
6. Treasury Management Strategy - 2015/16 Mid Year Review (Pages 15 - 34)
7. External Audit report - 2014/15 Annual Audit Letter (Pages 35 - 42)
8. Council Response to 2014/15 Annual Audit Letter (Pages 43 - 48)
9. External Audit - Audit Fees for 2015/16 (Pages 49 - 52)
10. Update on Implementation of Annual Governance Statement Action Plan (Pages 53 - 62)
11. Risk Management Monitoring Report 1 July 2015 to 30 September 2015 (Pages 63 - 72)
12. Audit committee work programme (Pages 73 - 78)
13. Urgent Business

To consider such other business as, in the opinion of the Chairman of the meeting, is of sufficient urgency to warrant consideration and is not likely to involve the disclosure of exempt information.